

1.
General presentation
2.
General objective
3.
Summarized programme

4.
Main bibliography

1.
Course: Business Finance
Area: Public Law
Semester: 3rd semester
Hourly load: 3 hours per week
ECTS: 4

2.
Provide a theoretical understanding of Financial Regulation
Analyze the legal framework of the Portuguese financial system.

3.
Chapter 1. Introduction
Content and scientific autonomy of Financial System Law.
General framework of the financial system.
State and normative ordering of financial activity: A historical analysis.
The sources of Financial System Law.

- Chapter 2. Financial Regulation: national and European dimensions**
General concept of financial regulation;
Regulation and Supervision;
Models of financial regulation: a comparative analysis;
National regulatory authorities;
European regulatory authorities.

- Chapter 3. Regulation of the banking sector**
Access to the activity;
Typology of credit institutions and financial corporations;
Prudential regulation of credit institutions;
Infractions and sanctions;
The protection of depositors and consumers: the Deposit Guarantee Fund;
Dissemination of information.

Chapter 4. Regulation of the insurance sector

Access to the activity;
The main types of insurance;
Infractions and sanctions;
The protection of policyholders.

Chapter 5. Regulation of the financial instruments sector

Typology of investment firms;
Typology of financial instruments and products;
Access to the activity;
Regulated markets.

4.

ANTÓNIO CARLOS DOS SANTOS, MARIA EDUARDA GONÇALVES e MARIA MANUEL LEITÃO MARQUES, *Direito Económico*, 7.^a ed., Coimbra, Almedina, 2014.

JOÃO CALVÃO DA SILVA, *Banca, Bolsa e Seguros: Direito Europeu e Português*, 5.^a ed, Coimbra, Almedina, 2017.

RUTE SARAIVA, *Direito dos Mercados Financeiros*, 2.^a ed., Lisboa, AAFDL, 2015.